Comparison of Clinical Trial Oversight in China and the U.S.

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#### Introduction



- The regulator
- How are clinical trials regulated
- Enforcement actions
- Other considerations
- Conclusions



# The Regulator

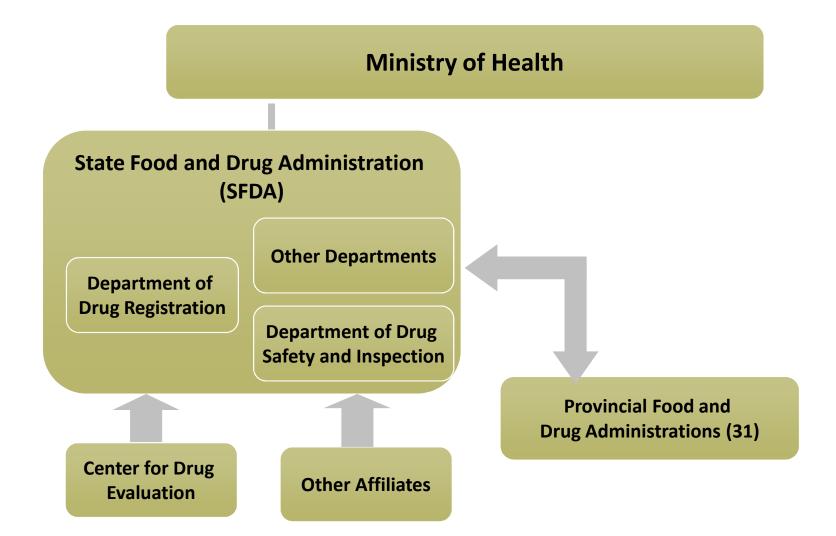




# FDA vs. SFDA



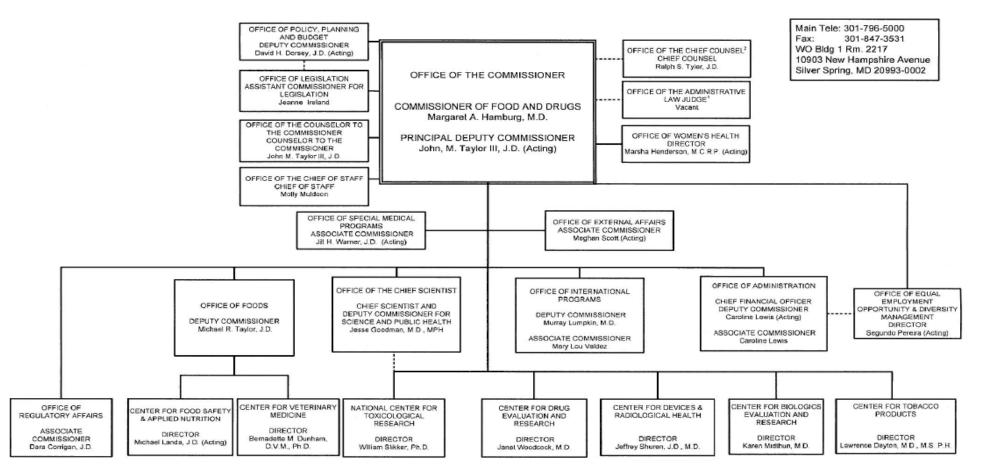




**FDA** 



#### DEPARTMENT OF HEALTH AND HUMAN SERVICES FOOD AND DRUG ADMINISTRATION



<sup>1</sup> Reports Directly to the Secretary, HHS <sup>2</sup> Reports to the General Counsel of the HHS,

advises the Commissioner of Food and Drugs

Approved by the FDA Reorganization Coordinator and Principal Delegation Control Officer





- The Role of the Chief Counsel in FDA
- CDE vs. CDER & CBER
- Transparency
- Procedural rules



# **Regulating Clinical Trials**

#### Approval for clinical trials



# China: Clinical Trial Approval U.S.: Investigational New Drug





# China: Only accredited institutes U.S.: No such a mechanism





#### China: GCP

- Sponsor's liabilities
- Reporting
- IRB
- U.S.: GCP
  - Sponsor's liabilities
  - Reporting
  - IRB

#### Inspections



#### China:

- Inspection for approval
- Regular compliance inspections
- Inspections for cause
- U.S.:
  - Regular compliance inspections
  - Inspections for cause





#### China:

- Warning and Request for Correction
- Administrative fines
- Suspension of production or operation
- Revocation of licenses
- Rejection of application for CTA
- Disqualification for three year
- Criminal prosecution



### China

- Ambiguity of law
- Sanctions available not deterrent enough
- Need more procedural rules and guidance
- Transparency
- Improving



#### U.S.:

- Untitled letters
- Warning letters
- Disqualification
- Rejection of site data
- Termination of IND
- Criminal prosecution



#### U.S.: Case study

#### **Other Considerations**



- Legal system
- Sponsor's legal liabilities
- Congress
- Media



# Conclusions







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